

UM RTG Lecture Series:  
Heegaard Floer meets Seiberg–Witten

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**JOINT WORK WITH YI-JEN LEE AND CLIFFORD H. TAUBES**

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## MORSE HOMOLOGY

Let  $X$  be a compact, connected, and smooth manifold of dimension  $n$ , and  $f : X \rightarrow \mathbb{R}$  be a smooth function.

Fix a Riemannian metric  $\mathbf{g}$  on  $X$ . The gradient vector field  $\nabla f$  of  $f$  is defined by  $\mathbf{g}(\nabla f, \cdot) = df$ .

A critical point  $p \in X$  of  $f$ , i.e.  $df_p = 0$ , is called *non-degenerate* if  $D_p \nabla f : T_p X \rightarrow T_p X$  (Hessian) is an isomorphism.

$f$  is called a *Morse* function if all its critical points are non-degenerate.

Let  $f$  be a Morse function. Then,

$$-\nabla f \rightsquigarrow \varphi_s,$$

For a critical point  $p$  of  $f$ , define

$$\begin{aligned}\mathcal{A}(p) &:= \{x \in X \mid \lim_{s \rightarrow \infty} \varphi_s(x) = p\}, \\ \mathcal{D}(p) &:= \{x \in X \mid \lim_{s \rightarrow -\infty} \varphi_s(x) = p\}.\end{aligned}$$

Let  $index(p) := dim(\mathcal{D}(p))$ .

**Morse–Smale condition:** For any pair  $(p, q)$  of critical points of  $f$ , we have  $\mathcal{D}(p) \pitchfork \mathcal{A}(q)$ .

Under the Morse–Smale condition  $\mathcal{M}(p, q) := \mathcal{D}(p) \pitchfork \mathcal{A}(q)$  is a smooth manifold of dimension

$$index(p) - index(q),$$

when  $index(p) \geq index(q)$ .

**Orientation of  $\mathcal{M}(p, q)$ :** A choice of orientation for each  $\mathcal{D}(p)$  induces an orientation on each  $\mathcal{M}(p, q)$  via

$$0 \rightarrow T_x \mathcal{M}(p, q) \hookrightarrow T_x \mathcal{D}(p) \twoheadrightarrow N_x \mathcal{A}(q) \rightarrow 0,$$

after an appeal to the fact that  $N_x \mathcal{A}(q) \simeq T_x \mathcal{D}(q)$ .

The Morse–Witten complex:

- Chain group

$$C_* := \mathbb{Z}\langle p \in X \mid df_p = 0 \rangle.$$

- Grading

Defined by the indices of critical points. The relative grading is defined by

$$gr(p, q) = index(p) - index(q) = \text{Spectral flow of the Hessian.}$$

- Differential

Negative gradient trajectories,

$$\frac{d}{ds}x(s) = -\nabla f(x(s)).$$

Then

$$\partial_* p = \sum_{gr(p,q)=1} \#(\mathcal{M}(p, q)/\mathbb{R})q.$$

HEEGAARD FLOER HOMOLOGY  
Peter Ozsváth & Zoltan Szabó

## THE SETUP

$f : M \rightarrow \mathbb{R}$  self-indexing Morse function with

- One maximum and one minimum,
- $g$  pairs of index-1 and index-2 critical points.

Fix a pseudo-gradient vector field  $\mathbf{v}$  for  $f$ .

$\rightsquigarrow (\Sigma, \alpha, \beta)$  a Heegaard diagram;

- $\Sigma$  closed, connected, oriented genus- $g$  surface,
- $\alpha = \{\alpha_1, \dots, \alpha_g\}$  and  $\beta = \{\beta_1, \dots, \beta_g\}$ .

Let  $\mathbb{T}_\alpha = \alpha_1 \times \dots \times \alpha_g$  and  $\mathbb{T}_\beta = \beta_1 \times \dots \times \beta_g$ , and fix  $z \in \Sigma \setminus (\alpha \cup \beta)$ .

A  $\text{Spin}^{\mathbb{C}}$  structure on  $M \rightsquigarrow \mathfrak{S} \subset \mathbb{T}_\alpha \cap \mathbb{T}_\beta$ .

- Chain groups

$$\begin{aligned}
 CF^\infty(M, \mathfrak{s}) &:= \mathbb{Z}\langle [x, i] \mid x \in \mathfrak{S}, i \in \mathbb{Z} \rangle, \\
 CF^-(M, \mathfrak{s}) &:= \mathbb{Z}\langle [x, i] \mid x \in \mathfrak{S}, i \in \mathbb{Z}, i < 0 \rangle, \\
 CF^+(M, \mathfrak{s}) &:= CF^\infty(M, \mathfrak{s}) / CF^-(M, \mathfrak{s}).
 \end{aligned}$$

- Relative grading

$x, y \in \mathfrak{S}$  and  $\phi \in \pi_2(x, y) \simeq H_2(M; \mathbb{Z}) \oplus \mathbb{Z}$  (for  $g > 1$ ),

$$gr([x, i], [y, j]) = \mu(\phi) - 2n_z(\phi) + 2(i - j).$$

Well defined modulo  $d := gcd\{\langle c_1(\mathfrak{s}), \sigma \rangle \mid \sigma \in H_2(M; \mathbb{Z})\}$ .

- Differential

$$\partial^\infty [x, i] = \sum_{\phi \in \pi_2(x, y), \mu(\phi)=1} \#(\mathcal{M}(\phi)/\mathbb{R}) [y, i - n_z(\phi)].$$

There is a degree  $-2$  map:

$$U : CF^\infty(M, \mathfrak{s}) \longrightarrow CF^\infty(M, \mathfrak{s}),$$

defined by  $U[x, i] = [x, i - 1]$ , and commutes with  $\partial^\infty$ .

The short exact sequence

$$0 \rightarrow CF^-(M, \mathfrak{s}) \xrightarrow{i} CF^\infty(M, \mathfrak{s}) \xrightarrow{\pi} CF^+(M, \mathfrak{s}) \rightarrow 0$$

yields a long-exact sequence of  $\mathbb{Z}[U]$ -modules

$$\cdots \rightarrow HF^-(M, \mathfrak{s}) \xrightarrow{i_*} HF^\infty(M, \mathfrak{s}) \xrightarrow{\pi_*} HF^+(M, \mathfrak{s}) \rightarrow \cdots .$$

For example,

$$\begin{aligned} HF^-(S^3) &\simeq \mathbb{Z}[U], \\ HF^\infty(S^3) &\simeq \mathbb{Z}[U, U^{-1}], \\ HF^+(S^3) &\simeq \mathbb{Z}[U, U^{-1}]/\mathbb{Z}[U]. \end{aligned}$$

SEIBERG–WITTEN FLOER HOMOLOGY  
Peter Kronheimer & Tom Mrowka

Fix a Riemannian metric  $\mathfrak{g}$  and a  $\text{Spin}^{\mathbb{C}}$  structure  $\mathfrak{s}$  on  $M$ :

- $\mathbb{S} \rightarrow M$ , a Hermitian  $\mathbb{C}^2$ -bundle (Spinor bundle).
- $\mathfrak{cl} : T^*M \rightarrow \text{End}_{\mathbb{C}}(\mathbb{S})$ , an isometry onto traceless, skew-Hermitian endomorphisms (Clifford multiplication).

Let

- $\mathbb{A}$  be a  $\text{Spin}^{\mathbb{C}}$  connection on  $\mathbb{S}$ , i.e. a unitary connection that satisfies the Leibniz rule with respect to  $\mathfrak{cl}$ .
- $\psi$  be a smooth section of  $\mathbb{S} \rightarrow M$ ,

and denote by  $\mathcal{C}$  the space of pairs of the form  $(\mathbb{A}, \psi)$ .

Then,

- Chern–Simons–Dirac functional

$$\mathbf{csd}(\mathbb{A}, \psi) := -\frac{1}{8} \int_M (\mathbb{A}^t - \mathbb{A}_0^t) \wedge (F_{\mathbb{A}^t} + F_{\mathbb{A}_0^t}) + \frac{1}{2} \int_M \langle \psi, \mathcal{D}_{\mathbb{A}} \psi \rangle.$$

- Seiberg–Witten equations

Via  $L^2$ -gradient of  $\mathbf{csd}$ ,

$$\begin{aligned} \frac{1}{2} * F_{\mathbb{A}^t} &= \psi^t \tau \psi, \\ \mathcal{D}_{\mathbb{A}} \psi &= 0. \end{aligned}$$

- Action of gauge group  $\mathcal{G} = C^\infty(M; S^1)$

$$u \cdot (\mathbb{A}, \psi) := (\mathbb{A} - u^{-1} du \otimes 1_{\mathbb{S}}, u\psi).$$

A configuration  $(\mathbb{A}, \psi)$  is called *reducible* if  $\psi = 0$ . Otherwise, it is called *irreducible*.

$$\mathcal{C} \xrightarrow[\mathcal{G}]{\text{blow up}} \tilde{\mathcal{B}}$$

$\tilde{\mathcal{B}}$  is a manifold with boundary. The  $L^2$ -gradient vector field of  $\mathfrak{csd}$  on  $\mathcal{C}$  induces a vector field on  $\tilde{\mathcal{B}}$  tangent to  $\partial\tilde{\mathcal{B}}$ . The points where the latter vanishes fall into three types, and

- Chain groups

$$\begin{aligned} \overline{\mathcal{C}}_* &:= C^s \oplus C^u, \\ \check{\mathcal{C}}_* &:= C^o \oplus C^s, \\ \widehat{\mathcal{C}}_* &:= C^o \oplus C^u. \end{aligned}$$

- Relative grading = Spectral flow

Well defined modulo

$$d := \gcd\left\{ \langle c_1(\mathfrak{s}), [-\frac{i}{2\pi}u^{-1}du] \mid u \in C^\infty(M, S^1) \rangle \right\}.$$

- Differential

Via trajectories in  $\tilde{\mathcal{B}}$ .

There is a degree  $-2$  map:

$$U : HM_*(M, \mathfrak{s}) \longrightarrow HM_*(M, \mathfrak{s}),$$

defined by the action of the generator of  $H^2(\tilde{\mathcal{B}}; \mathbb{Z})$ .

There exists an exact sequence

$$\cdots \rightarrow \widehat{HM}_*(M, \mathfrak{s}) \xrightarrow{p_*} \overline{HM}_*(M, \mathfrak{s}) \xrightarrow{i_*} \widetilde{HM}_*(M, \mathfrak{s}) \xrightarrow{j_*} \widehat{HM}_*(M, \mathfrak{s}) \rightarrow \cdots$$

of graded  $\mathbb{Z}[U]$ -modules.

(Analogous to the singular homology of a pair  $(X, \partial X)$ ;

$$\cdots \rightarrow H_{n+1}(X, \partial X) \xrightarrow{p_*} H_n(\partial X) \xrightarrow{i_*} H_n(X) \xrightarrow{j_*} H_n(X, \partial X) \rightarrow \cdots)$$

	...	-5	-4	-3	-2	-1	0	1	2	3	4	5	...
$\widetilde{HM}_*(S^3)$	...	0	0	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	...
$\widehat{HM}_*(S^3)$	...	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	0	0	0	0	0	...
$\overline{HM}_*(S^3)$	...	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	...

Table: Seiberg–Witten Floer homology of  $S^3$ .

## PERTURBATIONS OF $\mathbf{csD}$

Perturb the Chern–Simons–Dirac functional by

$$-\frac{1}{2} \int_M (\mathbb{A}^t - \mathbb{A}_0^t) \wedge iw,$$

where  $w$  is a closed 2-form. Then,

$$\mathbf{csD}(u \cdot (\mathbb{A}, \psi)) - \mathbf{csD}(\mathbb{A}, \psi) = \left[ -\frac{i}{2\pi} u^{-1} du \right] \cdot c,$$

where  $c = 2\pi(\pi c_1(\mathfrak{s}) - [w])$ , called the *period* of the perturbed  $\mathbf{csD}$ .

- $c = 0$  in  $H^2(M; \mathbb{R})$  (**Balanced**).
- $c \neq 0$  in  $H^2(M; \mathbb{R})$ , suppose  $c = \lambda c_1(\mathfrak{s})$  (**Monotone**).

## Main Theorem

Let  $\mathfrak{s}$  be a  $\text{Spin}^{\mathbb{C}}$  structure on  $M$ . There exists a commutative diagram

$$\begin{array}{ccccc} \cdots HF^-(M, \mathfrak{s}) & \longrightarrow & HF^\infty(M, \mathfrak{s}) & \longrightarrow & HF^+(M, \mathfrak{s}) \cdots \\ & & \downarrow & & \downarrow \\ \cdots \widehat{HM}_*(M, \mathfrak{s}, c_b) & \longrightarrow & \overline{HM}_*(M, \mathfrak{s}, c_b) & \longrightarrow & \widetilde{HM}_*(M, \mathfrak{s}, c_b) \cdots \end{array}$$

where the vertical arrows are isomorphisms of graded Abelian groups, while the top and the bottom rows are the respective long-exact sequences for Heegaard Floer homology and Seiberg–Witten Floer homology.

## Proof of the Main Theorem (Schematically)

Let  $Y = M \#_{g+1} S^1 \times S^2$  and  $\bar{Y} = -M \#_{g+1} S^1 \times S^2$ .

$$\begin{array}{ccc} \text{SW Floer homology of } Y & \leftrightarrow & \text{embedded contact homology of } \bar{Y} \\ \updownarrow & & \updownarrow \\ \text{SW Floer homology of } M & \simeq & \text{Heegaard Floer homology of } M \end{array}$$

## Definition

- A contact structure  $\xi$  on an oriented 3-manifold  $M$  is a totally non-integrable cooriented 2-plane field.
- A contact form on  $M$  is a 1-form  $\lambda$  such that  $\lambda \wedge d\lambda > 0$ .  $\lambda$  is compatible with  $\xi$  if  $\xi = \text{Ker}(\lambda)$ .

## Example

The standard contact structure  $\xi_{std}$  on  $\mathbb{R}^3$  is defined by the kernel of the 1-form  $dz - ydx$ . (Kernel is generated by  $\{\frac{\partial}{\partial y}, y\frac{\partial}{\partial z} + \frac{\partial}{\partial x}\}$ )

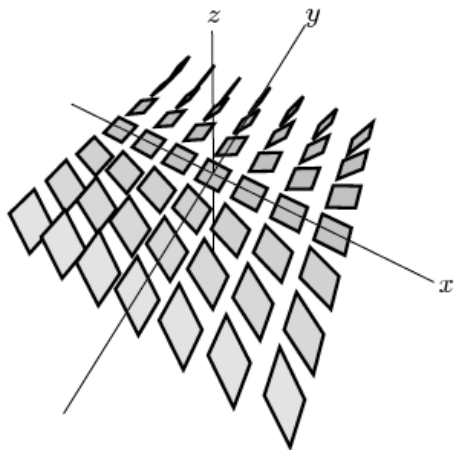


Figure: Standard contact structure on  $\mathbb{R}^3$

## Definition

Given a contact structure  $\xi$  on  $M$  and a compatible contact 1-form  $\lambda$ , the associated *Reeb* vector field  $R$  is defined by

- $d\lambda(R, \cdot) = 0$ ,
- $\lambda(R) = 1$ .

## Theorem (Taubes 2006)

*Let  $\xi$  be a contact structure on a closed, oriented 3-manifold  $M$  and  $\lambda$  be a compatible contact 1-form. Given  $\Gamma \in H_1(M; \mathbb{Z})$ , there exists a finite set  $\Theta = \{(\gamma, m)\}$  where  $\gamma$  is a periodic orbit of the Reeb vector field associated to  $\lambda$  and  $m > 0$  is an integer such that*

$$\Gamma = \sum_{(\gamma, m) \in \Theta} m[\gamma].$$

## Theorem (Taubes 2008)

Let  $\xi$  be a contact structure on a closed, oriented 3-manifold  $M$  and  $\lambda$  be a compatible contact 1-form. Given  $\Gamma \in H_1(M; \mathbb{Z})$ , there exists an isomorphism

$$ECH(M, \lambda, \Gamma) \cong \widetilde{HM}_*(-M, \mathfrak{s}_\xi \otimes PD(\Gamma)).$$

## Corollary

$\bigoplus_{\Gamma \in H_1(M; \mathbb{Z})} ECH(M, \lambda, \Gamma)$  is an invariant of  $M$ .

## Corollary (of Main Theorem)

*Let  $\xi$  be a contact structure on a closed, oriented 3-manifold  $M$  and  $\lambda$  be a compatible contact 1-form. Given  $\Gamma \in H_1(M; \mathbb{Z})$ , there exists an isomorphism*

$$ECH(M, \lambda, \Gamma) \cong HF^+(-M, \mathfrak{s}_\xi \otimes PD(\Gamma)).$$

*Moreover, the above isomorphism identifies the contact elements on both sides.*

## Remark

V. Colin, P. Ghiggini, and K. Honda announced an alternative approach to proving the above isomorphism.

## Proof of the Main Theorem

Lipshitz's cylindrical reformulation of Heegaard Floer homology:

- **Generators**

$[[1, 2] \times x, i]$  where  $x \in \mathfrak{S} \subset \mathbb{T}_\alpha \cap \mathbb{T}_\beta$  and  $i \in \mathbb{Z}$ .

- **Differential**

Fix an almost complex structure  $J$  on  $\mathbb{R} \times [1, 2] \times \Sigma$  satisfying

1.  $\omega = ds \wedge dt + w_\Sigma$  tames  $J$ , i.e.  $\omega(v, Jv) > 0$  for all  $v \neq 0$ ,
2.  $J$  is split away from  $\mathbb{R} \times [1, 2] \times \alpha \cup \beta$ ,
3.  $J$  is invariant under translations along  $\mathbb{R}$  direction,
4.  $J\partial_s = \partial_t$  ( $s$  for the  $\mathbb{R}$  factor,  $t$  for the  $[1, 2]$  factor),
5.  $J$  preserves  $T\Sigma$ .

Count  $J$ -holomorphic submanifolds of index 1 and their intersections with  $\mathbb{R} \times [1, 2] \times \{z\}$ .

Given a pointed Heegaard diagram  $(\Sigma, \alpha, \beta, z)$ ,

$$\begin{aligned} \text{Periodic domains} &\rightsquigarrow H_2(M, \mathbb{Z}) \\ \mathcal{P} &\mapsto \mathcal{H}(\mathcal{P}). \end{aligned}$$

### Lemma

*Given a  $\text{Spin}^{\mathbb{C}}$  structure  $\mathfrak{s}$ , a pointed Heegaard diagram is strongly  $\mathfrak{s}$ -admissible only if there exists an area form  $w_{\Sigma}$  on  $\Sigma$  such that*

- $\int_{\Sigma} w_{\Sigma} = 2$ ,
- $\int_{\mathcal{P}} w_{\Sigma} = \langle c_1(\mathfrak{s}), \mathcal{H}(\mathcal{P}) \rangle$  for each periodic domain  $\mathcal{P}$ .

Now, fix a  $\text{Spin}^{\mathbb{C}}$  structure  $\mathfrak{s}_M$  on  $M$  and a strongly  $\mathfrak{s}_M$ -admissible pointed Heegaard diagram  $(\Sigma, \alpha, \beta, z)$  for  $M$ .

A VERSION OF MICHAEL HUTCHINGS'S ECH

Let  $\Lambda$  denote a pairing between the index-1 and index-2 critical points of the self-indexing Morse function  $f$ .

Let  $M_\delta$  denote the 3-manifold obtained from  $M$  by excising small radius Euclidean balls around each critical point of  $f$ .

Given  $\mathfrak{p} \in \Lambda$  attach a copy of  $[-1, 1] \times S^2$  along the boundary spheres corresponding to the critical points determined by  $\mathfrak{p}$ , and denote it by  $\mathcal{H}_\mathfrak{p}$ .

Attach a single copy  $[-1, 1] \times S^2$  along the boundary spheres corresponding to the maximum and the minimum points of  $f$ . Denote the latter by  $\mathcal{H}_0$ .

The resulting manifold is  $M \#_{g+1} S^1 \times S^2$ .

## embedded contact homology

$w_\Sigma$  on  $\Sigma$  is used to construct a *stable Hamiltonian structure*  $(a, w)$  on  $\bar{Y}$  ( $da = hw$  for  $h : \bar{Y} \rightarrow \mathbb{R}$  smooth,  $dw = 0$ , and  $a \wedge w > 0$ ) such that

- $w|_{S^2}$  in  $\mathcal{H}_0$  and  $w|_{f^{-1}(c)}$  for regular  $c \in \mathbb{R}$  are area forms,
- $\langle [w], [S^2] \rangle = \begin{cases} 2 & \text{on } \mathcal{H}_0 \\ 0 & \text{on each } \mathcal{H}_p \end{cases}$
- $\langle [w], F \rangle = \langle c_1(\mathfrak{s}_M), F \rangle$  for each  $F \in H_2(M; \mathbb{Z})$ .

Also, a closed nowhere vanishing 1-form  $\hat{a}$  on  $\bar{Y}$  such that  $\hat{a} \wedge w > 0$ .  
 $\rightsquigarrow K^{-1} = \ker(\hat{a})$  oriented by  $w$  such that

$$\langle e_{K^{-1}}, [S^2] \rangle = \begin{cases} 2 & \text{on } \mathcal{H}_0 \\ -2 & \text{on each } \mathcal{H}_p \end{cases}$$

and a canonical  $\text{Spin}^{\mathbb{C}}$  structure  $\mathfrak{s}_0$  on  $\bar{Y}$ .

Now, fix  $\Gamma \in H_1(\bar{Y}; \mathbb{Z})$  such that  $\langle PD(\Gamma), [S^2] \rangle = \begin{cases} 0 & \text{on } \mathcal{H}_0 \\ 1 & \text{on each } \mathcal{H}_p \end{cases}$ ,

and  $\mathfrak{s}|_M = \mathfrak{s}_M$  where

$$\mathfrak{s} = \mathfrak{s}_0 \otimes PD(\Gamma).$$

Consider the associated *Reeb* vector field  $R$  defined by

- $w(R, \cdot) = 0$ ,
- $a(R) = 1$ .

Then, for the *ech* chain complexes

- **Generators**

$(\Theta, i)$  where  $i \in \mathbb{Z}$  and  $\Theta := \{(\gamma, m)\}$  such that

1.  $\gamma$  is a periodic orbit of  $R$ ,
2.  $m \in \mathbb{Z}$  and  $m \geq 1$  with equality if  $\gamma$  is hyperbolic,
3.  $\Gamma = \sum m[\gamma]$ .

## Proposition

*The periodic orbits of  $R$  that appear in finite collections from above have empty intersection with  $\mathcal{H}_0$  and  $M_\delta \setminus f^{-1}(1, 2)$ . Moreover, they are all hyperbolic.*

There is a distinguished periodic orbit  $\gamma_z$  of  $R$ :

- Intersects each cross-sectional  $S^2$  in  $\mathcal{H}_0$  exactly once,
- Intersects  $\Sigma$  at  $z$ .



Figure: The handle  $\mathcal{H}_p$  regarded as a spherical shell.

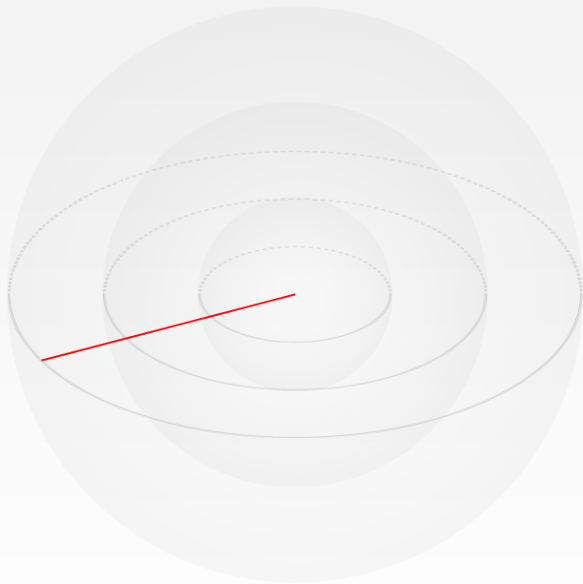


Figure: Integral curves in  $\mathcal{H}_p$  with  $\theta = \frac{\pi}{2}$ .

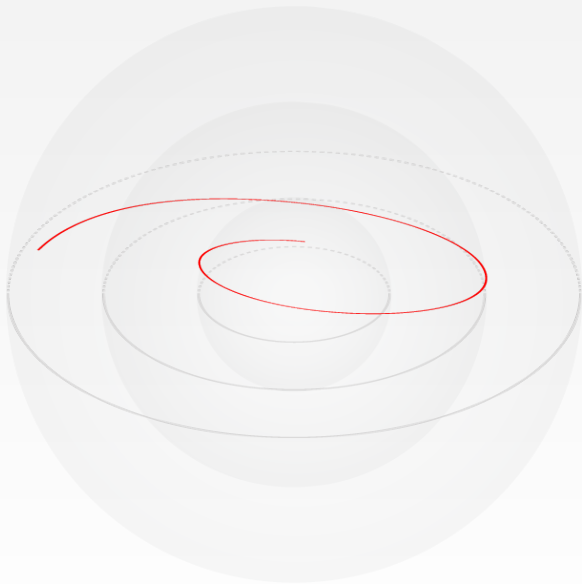


Figure: Integral curves in  $\mathcal{H}_p$  with  $0 < \cos^2 \theta < \frac{1}{3}$ .

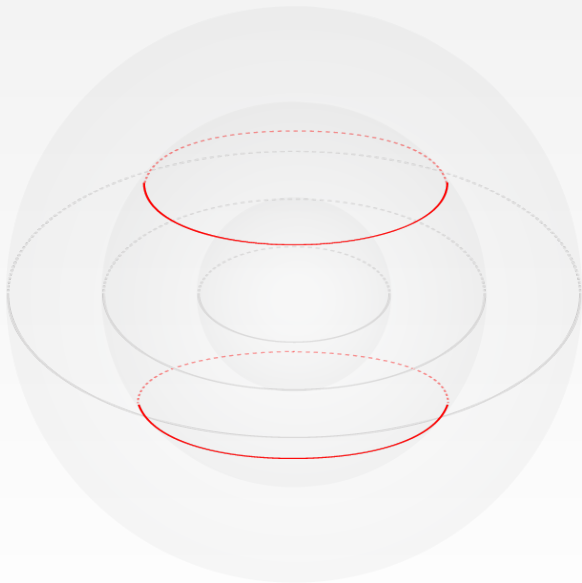


Figure: The integral curves  $\gamma_{\mathbf{p}}^+$  and  $\gamma_{\mathbf{p}}^-$  on the  $\{0\} \times S^2$  slice in  $\mathcal{H}_{\mathbf{p}}$ .

Fix an almost complex structure  $J$  on  $\mathbb{R} \times \overline{Y}$  satisfying:

1.  $J$  is invariant under translations along  $\mathbb{R}$  direction,
2.  $J$  is invariant under translations along  $\phi$  coordinate in  $\mathcal{H}_0$  and  $\mathcal{H}_p$ 's,
3.  $J\partial_s = R$  ( $s$  denotes the coordinate on the  $\mathbb{R}$  factor),
4.  $J$  preserves  $K^{-1}$ , and  $J|_{K^{-1}}$  is compatible with  $w|_{K^{-1}}$ ,

and some other additional desired properties.

- **Relative grading**

Via *ECH index*,  $I$ , defined by M. Hutchings.

$\mathbb{R} \times (\bar{Y} \setminus \bigcup_{p \in \Lambda} \gamma_p^+ \cup \gamma_p^-)$  is foliated by

1.  $S^2$ 's in  $\mathcal{H}_o$ , unobstructed and index = 2.
2.  $f^{-1}(c) \cong \Sigma$  for  $c \in (1, 2)$ , obstructed and index =  $2 - 2g$ .
3.  $2g$ -times punctured spheres with only negative ends labeled by  $\bigcup_{p \in \Lambda} \gamma_p^+ \cup \gamma_p^-$ , obstructed and index =  $2 - 2g$ .
4. Two disks with only positive ends at either  $\gamma_p^+$  or  $\gamma_p^-$ , unobstructed and index = 1.
5. Cylinders with only positive ends at  $\gamma_p^+$  and  $\gamma_p^-$ , obstructed and index = 0.

## Lemma

Those  $J$ -holomorphic subvarieties with no irreducible components from (1) or (2)

- has empty intersection with  $\mathbb{R} \times \mathcal{H}_0$  and  $\mathbb{R} \times (M_\delta \setminus f^{-1}(1, 2))$ ,
- look very much like Lipshitz submanifolds in  $\mathbb{R} \times f^{-1}(1, 2)$ .
- **Differential**

The *ech* differential  $\partial_{ech}^\infty$  counts  $J$ -holomorphic subvarieties in  $\mathbb{R} \times \bar{Y}$  with  $I = 1$ , and their intersections with  $\mathbb{R} \times \gamma_z$ .

## Theorem

$$\partial_{ech}^\infty = \partial_{HF}^\infty + \sum_{\mathfrak{p} \in \Lambda} \partial_*$$

$\partial_*$  is given by the rule:

- $\partial_*(m, 0) = 0$  for each  $m \in \mathbb{Z}$ ,
- $\partial_*(m, 1) = (m, 0) + (m + 1, 0)$  for each  $m \in \mathbb{Z}$ ,
- $\partial_*(m, -1) = (m, 0) + (m - 1, 0)$  for each  $m \in \mathbb{Z}$ ,
- $\partial_*(m, \{1, -1\}) = (m, -1) - (m, 1) + (m + 1, -1) - (m - 1, 1)$  for each  $m \in \mathbb{Z}$ .

The homology of the chain complex  $(\mathbb{Z}[\mathbb{Z} \times \mathbb{O}], \partial_*)$  is isomorphic to  $\mathbb{Z} \oplus \mathbb{Z}$  (denoted by  $\hat{V}$ ). The elements  $(0, 0)$  and  $(0, 1) - (1, -1)$  are closed and they generate the homology.

Hence, we have

**Theorem** (*ech/HF* correspondence)

*There exists a commutative diagram*

$$\begin{array}{ccccc} \cdots ech^-(\bar{Y}, \Gamma) & \longrightarrow & ech^\infty(\bar{Y}, \Gamma) & \longrightarrow & ech^+(\bar{Y}, \Gamma) \cdots \\ \downarrow & & \downarrow & & \downarrow \\ \cdots HF^-(M, \mathfrak{s}) \otimes \hat{V}^{\otimes g} & \longrightarrow & HF^\infty(M, \mathfrak{s}) \otimes \hat{V}^{\otimes g} & \longrightarrow & HF^+(M, \mathfrak{s}) \otimes \hat{V}^{\otimes g} \cdots \end{array}$$

*where the vertical arrows are isomorphisms and both rows are long-exact sequences. All homomorphisms preserve the relative gradings and the respective module structures.*

## Theorem (*ech/HM* correspondence)

*There exists a commutative diagram*

$$\begin{array}{ccccc} \cdots ech^-(\bar{Y}, \Gamma) & \longrightarrow & ech^\infty(\bar{Y}, \Gamma) & \longrightarrow & ech^+(\bar{Y}, \Gamma) \cdots \\ & & \downarrow & & \downarrow \\ \cdots H_*^-(Y, \mathfrak{s}) & \longrightarrow & H_*^\infty(Y, \mathfrak{s}) & \longrightarrow & H_*^+(Y, \mathfrak{s}) \cdots \end{array}$$

*where the vertical arrows are isomorphisms and both rows are long-exact sequences. All homomorphisms preserve the relative gradings and the respective module structures.*

## Theorem (Attaching 1-handles)







*There exists a commutative diagram*

$$\begin{array}{ccccc} \cdots H_*^-(Y, \mathfrak{s}) & \rightarrow & H_*^\infty(Y, \mathfrak{s}) & \rightarrow & H_*^+(Y, \mathfrak{s}) \cdots \\ \downarrow & & \downarrow & & \downarrow \\ \cdots \widehat{HM}_*(M, \mathfrak{s}, c_b) \otimes \widehat{V}^{\otimes g} & \rightarrow & \overline{HM}_*(M, \mathfrak{s}, c_b) \otimes \widehat{V}^{\otimes g} & \rightarrow & \widetilde{HM}_*(M, \mathfrak{s}, c_b) \otimes \widehat{V}^{\otimes g} \cdots \end{array}$$

*where the vertical arrows are isomorphisms and both rows are long-exact sequences. All homomorphisms preserve the relative gradings and the respective module structures.*

### Remark

J. Bloom, T. Mrowka, and P. Ozsváth proved a general connected sum formula that involves completed versions of Seiberg–Witten Floer homology groups.

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