

# Math 614: Lecture notes

Oct 10, 2007

The following lemma is of independent interest. It is called “prime avoidance” because it implies that if an ideal ‘avoids’ each of a finite set of primes, then it ‘avoids’ their union as well.

**Proposition (Prime Avoidance lemma).** *Let  $R$  be a ring,  $I$  an ideal and let  $Q_1, \dots, Q_n$  be a finite set of ideals, all but possibly two of which are prime, such that for all  $1 \leq j \leq n$ ,  $I \not\subseteq Q_j$ . Then  $I \not\subseteq \bigcup_{j=1}^n Q_j$ .*

*Proof.* There is nothing to prove if  $n = 0, 1$ , so we may assume  $n \geq 2$ . By induction on  $n$ ,  $I$  is not contained in a union of a strictly smaller number of the  $Q_j$ . By renumbering if necessary,  $Q_3, \dots, Q_n$  are prime. Let  $a_j \in (Q_j \cap I) \setminus \bigcup_{i \neq j} Q_i$ . (By minimality of the cover, such  $a_j$ 's exist.) Let

$$b := \prod_{\{t \geq 3 \mid a_1 + a_2 \notin Q_t\}} a_t$$

(where the empty product is 1) and

$$a := a_1 + a_2 + a_1 a_2 b.$$

Clearly  $a = a_1(1 + a_2 b) + a_2 \notin Q_1$  and  $a = a_1 + a_2(1 + a_1 b) \notin Q_2$ . Also, for  $t \geq 3$ , if  $a_1 + a_2 \in Q_t$  then the factors of  $b$  are not in  $Q_t$ , so that  $a_1 a_2 b \notin Q_t$  since  $Q_t$  is prime, and hence  $a \notin Q_t$ . If on the other hand  $a_1 + a_2 \notin Q_t$ , then  $a_t \in Q_t$  is a factor of  $b$ , which means that  $b \in Q_t$ , so that  $a \notin Q_t$ . Thus,  $a \in I \setminus \bigcup_{j=1}^n Q_j$ , as required.  $\square$

**Corollary.** *Let  $R$  be Noetherian and  $M$  a finitely generated  $R$ -module. Then the map*

$$\varphi : M \rightarrow \bigoplus_{\mathfrak{p} \in \text{Ass } M} M_{\mathfrak{p}}$$

given by the direct product of the localization maps, is injective. Thus,  $M = 0 \iff M_{\mathfrak{p}} = 0$  for all  $\mathfrak{p} \in \text{Ass } M$ . If  $\ell(M) < \infty$ , then  $\varphi$  is an isomorphism.

*Proof.* Let  $\text{Ass } M = \{\mathfrak{p}_1, \dots, \mathfrak{p}_n\}$ , where  $\mathfrak{p}_i \neq \mathfrak{p}_j$  for  $i < j$ . If  $\varphi(z) = 0$ , then for all  $\mathfrak{p} \in \text{Ass } M$  we have that  $(0 : z) \not\subseteq \mathfrak{p}$ , so by Prime Avoidance we have  $(0 : z) \not\subseteq \bigcup \text{Ass } M$ . Since this union is the set of zerodivisors of  $M$ , there is some nonzerodivisor  $w$  of  $M$  such that  $wz = 0$ . Hence  $z = 0$ .

If  $M_{\mathfrak{p}} = 0$  for all  $\mathfrak{p} \in \text{Ass } M$ , then  $\varphi$  is the zero map, but it is injective, so  $M = 0$ .

Suppose  $\ell(M) < \infty$ . Then  $R/\text{Ann } M$  is Artinian, so the support of  $M$  matches the assassin of  $M$ , a finite set of maximal ideals. Take any  $\mathfrak{m} \in \Omega(R)$ . If  $\mathfrak{m} \notin \text{Ass } M$ , then  $\mathfrak{m} \notin \text{Supp } M$ , so  $M_{\mathfrak{m}} = (M_{\mathfrak{p}})_{\mathfrak{m}} = 0$  for any  $\mathfrak{p} \in \text{Spec } R$ , and hence  $\varphi_{\mathfrak{m}} : 0 \rightarrow 0$  is the identity map in this case. If  $\mathfrak{m} \in \text{Ass } M$ , then for each component  $M_{\mathfrak{p}}$  of the direct sum, we have  $(M_{\mathfrak{p}})_{\mathfrak{m}} = 0$  if  $\mathfrak{p} \neq \mathfrak{m}$  and  $(M_{\mathfrak{p}})_{\mathfrak{m}} = M_{\mathfrak{m}}$  if  $\mathfrak{p} = \mathfrak{m}$ . So again we have that  $\varphi_{\mathfrak{m}} : M_{\mathfrak{m}} \rightarrow M_{\mathfrak{m}}$  is the identity map. Hence, since it is locally an isomorphism at maximal ideals, we have that  $\varphi$  is an isomorphism.  $\square$

**Corollary.** *An Artinian ring is a direct product of finitely many local Artinian local rings.*

*Proof.* By the above, we have  $R \cong \bigoplus_{\mathfrak{p} \in \text{Ass } R} R_{\mathfrak{p}} = \prod_{\mathfrak{p} \in \text{Ass } R} R_{\mathfrak{p}}$ , and each  $R_{\mathfrak{p}}$  is an Artinian local ring since it has only one prime ideal,  $\mathfrak{p}R_{\mathfrak{p}}$ .  $\square$

## Primary decomposition

In finitely generated modules over Noetherian rings, there is an analogue of the theory of unique factorization. We represent any submodule  $N \subseteq M$  as an *intersection* of “primary” submodules of  $M$ , in a way which is in a certain sense unique. Namely, for each prime  $\mathfrak{p} \in \text{Ass}(M/N)$ , one gets a “ $\mathfrak{p}$ -primary component”, which if  $\mathfrak{p}$  is minimal in  $\text{Ass}(M/N)$  is uniquely determined by  $M/N$ .

**Definition.** Let  $N \subseteq M$  be a submodule and  $\mathfrak{p} \in \text{Spec } R$ .

We say  $N$  is a  $\mathfrak{p}$ -*primary* submodule of  $M$  if  $\text{Ass } M/N = \{\mathfrak{p}\}$ . If  $\text{Ass } M = \{\mathfrak{p}\}$ , we say  $M$  is a  $\mathfrak{p}$ -*coprimary* module. A submodule  $N$  of  $M$  is *primary* if it is  $\mathfrak{p}$ -primary for some  $\mathfrak{p} \in \text{Spec } R$ .

We say  $N$  is an *irreducible* submodule if whenever  $N', N''$  are submodules of  $M$  and  $N = N' \cap N''$ , either  $N = N'$  or  $N = N''$ .

Any representation of a submodule  $N \subseteq M$  as an intersection

$$N = N_1 \cap \cdots \cap N_r$$

of a finite number of submodules  $N_i$  of  $M$  is called a *decomposition* of  $N$ . The decomposition is *irredundant* if for any  $1 \leq i \leq r$ ,  $N \neq \bigcap_{i \neq j} N_i$ . If each of the  $N_i$  is irreducible, we call the decomposition *irreducible*. If each  $N_i$  is primary, we call it a *primary decomposition*. In an irredundant primary decomposition, if each  $N_i$  is  $\mathfrak{p}_i$ -primary, and  $\mathfrak{p}_i \neq \mathfrak{p}_j$  for all  $i \neq j$ , we call the decomposition a *minimal primary decomposition* of  $N$ , and we say  $N_i$  is the  *$P$ -primary component* of the decomposition.

Note: If  $N, N'$  are  $\mathfrak{p}$ -primary submodules of  $M$ , so is  $N \cap N'$ . This is because one can think of  $M/(N \cap N')$  as a submodule of  $\frac{M}{N} \oplus \frac{M}{N'}$ , via the short exact sequence

$$0 \rightarrow \frac{M}{N \cap N'} \rightarrow \frac{M}{N} \oplus \frac{M}{N'} \rightarrow \frac{M}{N + N'} \rightarrow 0.$$

Hence, any irredundant primary decomposition can be replaced with a minimal primary decomposition, by grouping those terms which are  $\mathfrak{p}$ -primary for each  $\mathfrak{p}$ .

**Theorem (Primary decomposition).** *Let  $R$  be a Noetherian ring and  $M$  a finitely generated  $R$ -module.*

- (a) *Any submodule of  $M$  has an irreducible decomposition.*
- (b) *Any irreducible submodule of  $M$  is primary. Hence, any submodule has a primary decomposition.*
- (c) *If  $N = \bigcap_{i=1}^r N_i$ , with each  $N_i$   $\mathfrak{p}_i$ -primary, is an irredundant primary decomposition of a proper submodule  $N \subset M$ , then  $\text{Ass}(M/N) = \{\mathfrak{p}_1, \dots, \mathfrak{p}_r\}$ .*
- (d) *Suppose  $N = \bigcap_{i=1}^r N_i$  is a minimal primary decomposition, where each  $N_i$  is  $\mathfrak{p}_i$ -primary, and  $W \subset R$  is a multiplicative set such that  $\mathfrak{p}_i \cap W = \emptyset$  for  $1 \leq i \leq t$  and  $\mathfrak{p}_i \cap W \neq \emptyset$  for  $i > t$ . Then  $W^{-1}N = \bigcap_{i=1}^t W^{-1}N_i$  is a minimal primary decomposition of  $W^{-1}N$  in  $W^{-1}M$ , where each  $W^{-1}N_i$  is  $W^{-1}\mathfrak{p}_i$ -primary.*

(e) If  $N \subset M$  is a proper submodule and  $\mathfrak{p}$  is a minimal element of  $\text{Ass}(M/N)$ , then the  $\mathfrak{p}$ -primary component of  $N$  in any shortest primary decomposition of  $N$  is  $\ell_{\mathfrak{p}}^{-1}(N_{\mathfrak{p}})$ , where  $\ell_{\mathfrak{p}} : M \rightarrow M_{\mathfrak{p}}$  is the localization map.

*Proof.* (a) If not, let  $\mathcal{S}$  be the set of submodules of  $M$  having no irreducible decomposition. Let  $N$  be a maximal element of  $\mathcal{S}$ . Then  $N$  is not irreducible, so  $N = N_1 \cap N_2$ , where  $N \neq N_1$  and  $N \neq N_2$ . But  $N_1$  and  $N_2$  properly contain  $N$ , so by maximality they each have an irreducible decomposition, and gluing these together gives an irreducible decomposition of  $N$ .

(b) Suppose  $N$  is not primary. Then there are two distinct primes  $\mathfrak{p}_1, \mathfrak{p}_2 \in \text{Ass}(M/N)$ . Then there are submodules  $R/\mathfrak{p}_i \cong L_i/N \subseteq M/N$ . And for any  $z \in L_i \setminus N$ , we have  $\mathfrak{p}_i = (N : z)$ , which means that  $L_1 \cap L_2 = N$ , so  $N$  is not irreducible.

(c) We may assume  $N = 0$ , and that the decomposition is minimal.  $M$  is isomorphic to a submodule of  $\bigoplus_{i=1}^r M/N_i$ , so

$$\text{Ass } M \subseteq \bigcup_{i=1}^r \text{Ass}(M/N_i) = \{\mathfrak{p}_1, \dots, \mathfrak{p}_r\}.$$

On the other hand,  $\mathfrak{p}_1 \in \text{Ass } M$ . To see this, let  $B := \bigcap_{j=2}^r N_j$ , which is nonzero by minimality of the decomposition. Observe that

$$0 \neq B = B/0 = \frac{B}{N_1 \cap B} \cong \frac{B + N_1}{N_1} \subseteq M/N_1.$$

Hence,  $\emptyset \neq \text{Ass } B \subseteq \text{Ass}(M/N_1) = \{\mathfrak{p}_1\}$ , so  $\mathfrak{p}_1 \in \text{Ass } B \subseteq \text{Ass } M$ . Repeating the argument for each  $i$ ,  $\{\mathfrak{p}_1, \dots, \mathfrak{p}_r\} \subseteq M$ .

(d) Since localization commutes with intersection, we get the equality. Moreover, for  $i > t$  we have  $\text{Ass } W^{-1}(M/N_i) = \emptyset$ , so  $W^{-1}M = W^{-1}N_i$  and these terms are removable from the intersection. For  $i \leq t$ ,  $\text{Ass}(W^{-1}M/W^{-1}N_i) = \text{Ass } W^{-1}(M/N_i) = \{W^{-1}\mathfrak{p}_i\}$ , as claimed, and all these prime ideals are distinct.

(e) Say  $\mathfrak{p} = \mathfrak{p}_1$ . If  $\frac{z}{1} \in N_{\mathfrak{p}}$ , then  $az \in N \subseteq N_1$  for some  $a \in R \setminus \mathfrak{p}$ , but  $a$  is a nonzerodivisor on  $M/N_1$ , so  $z \in N_1$ .

Conversely,  $N_{\mathfrak{p}} = (N_1)_{\mathfrak{p}}$  by part (d), since for all  $j \geq 2$ , we have  $\mathfrak{p}_j \not\subseteq \mathfrak{p}$ .  $\square$

So, the primary submodules corresponding to *minimal* primes in a primary decomposition are unique, but the others rarely are.

For example, let  $R = k[x, y]$  where  $k$  is a field, and  $I = (x^2, xy)$ . Then  $(x^2, xy) = (x) \cap (x^2, y)$  is a primary decomposition of  $I$  in  $R$ , but so is  $(x^2, xy) = (x) \cap (x^2, xy, y^n)$  for all  $n \geq 2$ . This behavior is typical.

**Definition.** If  $\mathfrak{p}$  is a prime ideal and  $n$  is a positive integer, the  *$n$ th symbolic power* of  $\mathfrak{p}$ , denoted  $\mathfrak{p}^{(n)}$  is the  $\mathfrak{p}$ -primary component of  $\mathfrak{p}^n$  in a minimal primary decomposition of  $R$ . Specifically,  $\mathfrak{p}^{(n)} = (\mathfrak{p}^n)^{\text{ec}}$ , where extension and contraction is in terms of the localization map  $R \rightarrow R_{\mathfrak{p}}$ .