Take-away Games

Michael Zieve

Abstract

Several authors have considered take-away games where the players alternately remove a positive number of counters from a single pile, the player removing the last counter being the winner. On his initial move, the first player may remove any number of counters, so long as he leaves at least one. On each subsequent move, a player may remove at most $f(n)$ counters, where $n$ is the number of counters removed by his opponent on the preceding move. We prove various results about the sequence of losing positions for this game in case $f$ is a linear function; these improve all previously known results.

1 Introduction

Several authors, including those of [1], [2], and [3], have considered take-away games where the players alternately remove a positive number of counters from a single pile, the player removing the last counter being the winner. On his initial move, the first player may remove any number of counters, so long as he leaves at least one. On each subsequent move, a player may remove at most $f(n)$ counters, where $n$ is the number of counters removed by his opponent on the preceding move. Thus, any mapping $f$ from the positive integers to themselves defines such a take-away game.

In [2] this game was studied, where $f$ is nondecreasing and $f(1) \geq 1$. For any such $f$, let $H_1 = 1, H_2, \ldots$ be the sizes of the initial pile from which the first player has no winning strategy; we call these the losing positions of the game (in [1] they are called $\mathcal{P}$-positions—$\mathcal{P}$ for Previous player win). We will study this sequence of losing positions. We begin with a result from [2]:

**Theorem 1.** If $f(H_j) \geq H_j$, then $H_{j+1} = H_j + H_\ell$, where

$$H_\ell = \min_{i \leq j} \{ H_i | f(H_i) \geq H_j \}.$$ 

If $f(H_j) < H_j$, the sequence $(H_i)$ is finite and $H_j$ is the final term.
Proof. Assume \( f(H_j) \geq H_j \); then \( H_{\ell} = \min_{i < j} \{ H_i | f(H_i) \geq H_j \} \) exists. For any losing position \( H_i < H_{\ell} \), we have \( f(H_i) < H_j \), so from an initial pile of size \( H_j + H_i \) the first player can remove \( H_i \) counters and win (since this leaves the second player a pile of size \( H_j \) from which he cannot remove all counters, so he is in a losing position). For any winning position \( x < H_{\ell} \), from a pile of size \( H_j + x \) the first player can employ a winning strategy for a pile of size \( x \), so long as his final move in this strategy removes \( y \) counters where \( f(y) < H_j \) (this is always possible, since if the first player has the option of making a final move of \( y \) where \( f(y) \geq H_j \), then \( y < H_{\ell} \) cannot be a losing position, so the first player can use a winning strategy from \( y \), thereby making a smaller final move); then the second player is left with a pile of size \( H_j \) from which he cannot remove all counters, so the first player has won. Finally, from a pile of size \( H_j + H_i \), if the first player takes at least \( H_i \) counters then the second player takes the rest and wins; if the first player takes fewer than \( H_i \) counters, then the second player can employ a winning strategy for a pile of size \( H_{\ell} \), so long as his final move in this strategy removes \( y \) counters where \( f(y) < H_{\ell} \), so the second player wins.

If \( f(H_j) < H_j \), suppose we had \( H_{j+1} = H_j + x \) for some \( x \). As above, \( x \) cannot be any \( H_i \), since the first player wins from \( H_j + H_i \) by removing \( H_i \) counters (since \( f(H_i) \leq f(H_j) < H_j \)). Since \( x < H_{j+1} \), \( x \) must be a winning position; thus, the first player can win from \( H_j + x \) by employing a winning strategy for \( x \), so long as the final move in this strategy is \( y \) where \( f(y) < H_j \). Thus \( H_{j+1} \) is not a losing position, which is a contradiction, so in fact there is no \( H_{j+1} \).

A natural question is whether the \( H_i \) sequence eventually becomes a simple linear recursion \( H_{i+1} = H_i + H_{i-k} \) for sufficiently large \( i \). For the functions \( f(n) = cn \), where \( c \geq 1 \), a positive answer to this question was demonstrated in [3]; a positive answer for the functions \( f(n) = cn - 1 \), where \( c \geq 2 \), was claimed in [1].

In this paper we modify the methods of [3] to give a positive answer for functions \( f(n) = cn - d \), where \( c - 1 \geq d \geq 0 \). Using entirely different methods we give a positive answer for functions \( f(n) = cn + d \), where \( c \geq 1 \) and \( d > 0 \). We also derive results describing \( k \), the degree of the recursion, in terms of \( c \) (our results do not depend on \( d \)); these are the first results about \( k \) which have been found. For certain small values of \( c \) we sharpen the general results to find \( k \) exactly. We also present algorithms for computing \( k \) and prove that they are valid. We have implemented these algorithms for several linear functions \( f \), and we make several conjectures based on this
data (in particular, about the dependence of \( k \) on \( d \)).

### 2 The functions \( f(n) = cn - d \)

This section is devoted to the proof of the following

**Theorem 2.** In the game associated to the function \( f(n) = cn - d \), where \( c - 1 \geq d \geq 0 \), there is a nonnegative integer \( k \) such that the sequence of losing positions \( H_i \) satisfies \( H_{i+1} = H_i + H_{i-k} \) for all sufficiently large \( i \).

Note that \( f(n) \geq n \) for all \( n \), so Theorem 1 implies that the \( H_i \) sequence is infinite. The theorem follows from the following two lemmas.

**Lemma 1.** If \( H_i \leq f(H_j) \), then \( H_{i+1} \leq f(H_{j+1}) \).

**Proof.** Decreasing \( j \) if necessary, we may assume \( H_{i+1} = H_i + H_j \). Also, \( H_{j+1} = H_j + H_i \) where \( f(H_i) \geq H_j \). Thus,

\[
\begin{align*}
    f(H_{j+1}) & = cH_{j+1} - d = cH_j + cH_i - d \\
    & \geq cH_j + H_j = f(H_j) + d + H_j \\
    & \geq H_i + d + H_j = H_{i+1} + d,
\end{align*}
\]

and the lemma is proved. ☐

**Lemma 2.** There exists a positive integer \( r \) such that \( f(H_{n-r}) < H_n \) for all \( n > r \).

**Proof.** For any integer \( i \geq 1 \) we have \( H_{i+1} = H_i + H_j \) where \( cH_j - d = f(H_j) \geq H_i \); thus,

\[
\frac{H_{i+1}}{H_i} = 1 + \frac{H_j}{H_i} \geq 1 + 1/c.
\]

Let \( r \) be any integer for which \( (1 + 1/c)^r > c \); then, for any \( n > r \),

\[
\frac{H_n}{H_{n-r}} = \prod_{i=n-r}^{n-1} \frac{H_{i+1}}{H_i} \geq (1 + 1/c)^r > c.
\]

Thus, \( f(H_{n-r}) \leq cH_{n-r} < H_n \). ☐

**Proof of Theorem 2.** Each \( H_{i+1} \) equals \( H_i + H_j \) for some \( j \leq i \); Lemma 1 implies that the sequence of differences \( i - j \) is nondecreasing, and Lemma 2 implies that this sequence is bounded above by \( r - 1 \), so together they show that the sequence must be constant for sufficiently large \( i \). This limiting value is the \( k \) described in the theorem. ☐
3 The functions $f(n) = cn + d$

The proof that the sequence of losing positions for the take-away game associated to $f(n) = cn - d$ eventually satisfies a simple recursion was quite simple: the sequence of differences $i - j$ (where $H_{i+1} = H_i + H_j$) was shown to be nondecreasing and bounded. The sequence of differences for $f(n) = cn + d$, however, is generally not monotonic, so one cannot hope for such a simple proof in this case.

**Theorem 3.** In the take-away game associated to the function $f(n) = cn + d$, where $c \geq 1$ and $d > 0$, there is a nonnegative integer $k$ such that the sequence of losing positions $H_i$ satisfies $H_{i+1} = H_i + H_{i-k}$ for all sufficiently large $i$.

**Proof.** First, any function $f$ as in the theorem satisfies $f(n) \geq n$ for all $n$, so Theorem 1 implies that the $H_i$ sequence is infinite. Now, each $H_{i+1}$ equals $H_i + H_j$ for some $j \leq i$; we will show that the sequence of differences $i - j$ is nonincreasing for sufficiently large $i$, which implies that the sequence is eventually constant, finishing the proof.

Define $J_0 = \{1\}$, and inductively $J_{i+1} = \{\alpha : H_0 = H_{a-1} + H_r, r \in J_i\}$. Let $r_i$ and $s_i$ be the minimal and maximal elements of $J_i$, respectively. We claim that every $J_i$ is a finite nonempty set of consecutive integers, $J_i = \{r_i, r_i + 1, \ldots, s_i\}$, and that $r_{i+1} = s_i + 1$; thus the sets $J_0, J_1, \ldots$ partition the positive integers into intervals. The proof of this claim is inductive: we have

$$J_1 = \{\alpha : H_0 = H_{a-1} + 1\} = \{\alpha : H_{a-1} \leq f(1)\} = \{2, 3, \ldots, s_2\},$$

and for $i \geq 1$,

$$J_{i+1} = \{\alpha : f(H_{r_i-1}) < H_{a-1} \leq f(H_r), r \in J_i\} = \{\alpha : f(H_{r_i-1}) < H_{a-1} \leq f(H_{s_i})\},$$

so $J_{i+1}$ is a finite set of consecutive integers whose least element is $s_i + 1$; thus the claim is proved. Now, every positive integer $j$ is in some $J_i$, and we define $\psi(j) = i$. Then $\psi$ is a nondecreasing function.
Suppose that, for each \( \alpha \in J_i \) (where \( i > 0 \), so \( \alpha > 1 \)), the unique \( r \) satisfying \( H_\alpha = H_{\alpha-1} + H_r \) also satisfies \( H_\alpha \geq f(H_r) - d\epsilon \). Then, for any \( \beta \in J_{i+1} \), we have \( H_\beta = H_{\beta-1} + H_\alpha \) for some \( \alpha \in J_i \), so \( H_\alpha \geq f(H_r) - d\epsilon \). Thus \( f(H_{\alpha-1}) < H_{\beta-1} \leq f(H_\alpha) \). Now,

\[
H_\beta - f(H_\alpha) = H_{\beta-1} + H_\alpha - f(H_\alpha) \\
= H_{\beta-1} + H_\alpha - cH_\alpha - d \\
> f(H_{\alpha-1}) + H_\alpha - cH_\alpha - d \\
= cH_{\alpha-1} + H_\alpha - cH_\alpha \\
= H_\alpha - cH_r \\
= H_\alpha + d - f(H_r) \\
\geq d - d\epsilon,
\]

so \( H_\beta > f(H_\alpha) - d(\epsilon - 1) \).

Put \( \epsilon = (c + d - 2)/d \). Note that \( J_1 = \{2, 3, \ldots, [c + d] + 1\} \), where \( [c + d] \) denotes the greatest integer not exceeding \( c + d \). For any \( \alpha \in J_1 \), we have \( H_\alpha = H_{\alpha-1} + H_1 \), and

\[
f(H_1) - d\epsilon = c + d - de = 2 \leq H_\alpha.
\]

By the above paragraph, for each \( \alpha \in J_i \), the unique \( r \) satisfying \( H_\alpha = H_{\alpha-1} + H_r \) also satisfies \( H_\alpha \geq f(H_r) - d(\epsilon + 1 - i) \).

For any \( j > 1 \) such that \( i = \psi(j) \geq \max\{2, 1 + \epsilon\} \), we have \( H_j = H_{j-1} + H_m \) where \( m \in J_{i-1} \). Since \( i \geq 1 \), we have \( H_m = H_{m-1} + H_t \) where \( H_m \geq f(H_t) - d(\epsilon + 2 - i) \geq f(H_t) - d \). Now, since \( f(H_{m-1}) < H_{j-1} \leq f(H_m) \),

\[
H_j - f(H_m) = H_{j-1} + H_m - cH_m - d \\
> f(H_{m-1}) - d + H_m - cH_m \\
= cH_{m-1} + H_m - cH_m \\
= H_m - cH_t \\
= H_m - f(H_t) + d \\
\geq 0,
\]

so \( H_j > f(H_m) \). Thus, if \( H_{j+1} = H_j + H_t \), we have \( f(H_t) \geq H_j \), so \( \ell > m \), and thus the difference \( j - \ell \leq (j - 1) - m \).

We have now shown that, for sufficiently large \( j \), the sequence of differences \( j - \ell \), where \( H_{j+1} = H_j + H_\ell \), is nonincreasing; since each such difference is a nonnegative integer, this implies that the sequence is eventually constant, which completes the proof. \( \blacksquare \)
4 The degree of the recursion

The theorems of the previous two sections imply that, if \( f(n) = cn + d \) where \( c \geq 1 \) and \( d \geq 1 - c \), then the sequence of losing positions \( H_i \) for the corresponding take-away game satisfies \( H_{i+1} = H_i + H_{i-k} \) for all sufficiently large \( i \). In this section we derive some general results about \( k \). Our methods use only the ultimate behavior of the \( H_i \) sequence, namely that \( H_{i+1} = H_i + H_{i-k} \) for sufficiently large \( i \), and disregard the early behavior of this sequence.

**Theorem 4.** If \( f(n) = cn + d \) where \( c > 1 \) and \( d \geq 1 - c \), and the sequence of losing positions for the corresponding take-away game satisfies the recursion \( H_{i+1} = H_i + H_{i-k} \) for all sufficiently large \( i \), then

\[
\frac{\log (c - 1)}{\log (c) - \log (c - 1)} \leq k \leq \frac{\log c}{\log (c+1) - \log c}.
\]

**Proof.** We will only consider \( i \) which are “sufficiently large”, so we may assume that \( H_{i+1} = H_i + H_{i-k} \) for all \( i \) under consideration. The characteristic polynomial for this recursion relation is \( g(x) = x^{k+1} - x^k - 1 \). By Descartes’ rule of signs, \( g \) has exactly one positive real root, which we will denote by \( r \). Note that \( g(t) \to +\infty \) as \( t \to +\infty \), where \( t \) is a real variable; thus, for \( t > r \) we must have \( g(t) > 0 \). Now, any complex root \( z \) of this polynomial satisfies

\[
1 = |z^{k+1} - z^k| = |z|^k \cdot |z - 1| 
\geq |z|^k \cdot (|z| - 1) 
= |z|^{k+1} - |z|^k,
\]

or equivalently \( g(|z|) \leq 0 \). Thus, \( |z| \leq r \). If \( |z| = r \) then \( g(|z|) = 0 \), so we have equality in (1), implying that \( |z - 1| = |z| - 1 \); by the equality criteria for the triangle inequality, this last equality implies that \( z \) is a nonnegative real number, so \( z = r \). Thus, any complex root of \( g \) other than \( r \) has absolute value less than \( r \). Since \( r \) is necessarily a simple root of \( g \), it follows by a standard result about linear recursion relations that

\[
\lim_{i \to \infty} \frac{H_{i+1}}{H_i} = r.
\]

Since \( cH_{i-1} + d < H_{i+k} \leq cH_i + d \), we have

\[
\frac{H_{i-1}}{H_{i+k}} < 1 - \frac{d}{H_{i+k}} \leq \frac{H_i}{H_{i+k}}.
\]
taking the limit as \( t \to \infty \), we see that
\[
1/r^{k+1} \leq 1/c \leq 1/r^k,
\]
or equivalently
\[
r^k \leq c \leq r^{k+1}.
\]
Note that \( r > 1 \), since \( f(1) < 0 \); now, since \( r^k = 1/(r - 1) \),
(2) \( \iff 1/(r - 1) \leq c \leq r/(r - 1) \)
\( \iff 1/c \leq r - 1 \) and \( (c - 1)r \leq c \)
\( \iff (c + 1)/c \leq r \leq c/(c - 1) \)
\( \iff g \left( \frac{c + 1}{c} \right) \leq 0 \leq g \left( \frac{c}{c - 1} \right) \)
\( \iff \left( \frac{c + 1}{c} \right)^k \left( \frac{1}{c} \right) \leq 1 \leq \left( \frac{c}{c - 1} \right)^k \left( \frac{1}{c - 1} \right) \)
\( \iff k (\log (c + 1) - \log c) - \log c \leq 0 \leq k (\log c - \log (c - 1)) - \log (c - 1) \)
\( \iff \frac{\log (c - 1)}{\log c - \log (c - 1)} \leq k \leq \frac{\log c}{\log (c + 1) - \log c} \),
which completes the proof. ■

Define \( \chi(c) = (\log c)/(\log (c + 1) - \log c) \) for \( c > 0 \). Then the result of the above theorem is that \( \chi(c - 1) \leq k \leq \chi(c) \).

**Lemma 3.** The function \( \chi(c) \) is increasing for \( c > 1 \).

**Proof.** Since
\[
\chi(c) = \frac{1}{\log (c + 1)/\log c - 1}
\]
for \( c > 1 \), \( \chi(c) \) is increasing if and only if
\[
\phi(c) = \frac{\log (c + 1)}{\log c}
\]
is decreasing. But the derivative
\[
\phi'(c) = \frac{(\log c)/(c + 1) - (\log (c + 1))/c}{(\log c)^2}
= \frac{c \log c - (c + 1) \log (c + 1)}{c(c + 1)(\log c)^2}
< 0,
\]
so indeed \( \phi \) is decreasing, hence \( \chi \) is increasing for \( c > 1 \). ■
Corollary 1. For any integers $c_1, c_2, d_1, d_2$ such that $c_1 - 1 \geq c_2 \geq 2$ and $d_1 \geq 1 - c_1, d_2 \geq 1 - c_2$, we have $k_1 > k_2$, where the losing positions of the take-away game associated to the function $f(n) = c_2 n + d_2$ satisfy $H_{i+1} = H_i + H_{i-k_2}$ for sufficiently large $i$.

Proof. We have

$$k_2 \leq \chi(c_2) \leq \chi(c_1 - 1) \leq k_1;$$

if $k_2 = k_1$ then every equality would hold, so $\chi(c_2) = k_2$ would be an integer and $1 + 1/\chi(c_2) = \log (c_2 + 1)/\log c_2$ would be a rational number, implying that $c_2 + 1$ is a rational power of $c_2$, which cannot hold in light of the unique prime factorization theorem for the integers. $\blacksquare$

When $d_1 = d_2 = 0$, this corollary becomes

Corollary 2. For any integers $c_1 > c_2 \geq 2$, the degree of the recursion satisfied by the ultimate losing positions for $f(n) = c_1 n$ is greater than the corresponding degree for $f(n) = c_2 n$.

References [1], [3], and [4] asked for results about these degrees; the above corollary and the preceding theorem are the first such results.

Theorem 4 gives an interval, in terms of $c$, in which the degrees corresponding to all $f(n) = c n + d$ lie; the length of this interval, $\chi(c) - \chi(c - 1)$, is asymptotic to $\log c$ as $c \to \infty$ (since $\chi(c)$ is asymptotic to $c \log c$).

5 Special values of $c$

In this section we derive sharp results about the degree corresponding to $f(n) = c n + d$ when $c$ has certain special values.

Proposition 1. For any positive real number $d$, the sequence of losing positions for the take-away game corresponding to $f(n) = n + d$ satisfies $H_{i+1} = 2H_i$ for all sufficiently large $i$.

Note that this could be stated: if $c = 1$ the degree is 0.

Proof. Since the $H_i$ sequence is an increasing sequence of positive integers, there is some $H_i > 2d$. Then $H_{i+1} = H_i + H_r$, where $H_r + d \geq H_r$. Thus $H_i + d \leq H_r + 2d < H_r + H_i = H_{i+1}$, so $H_{i+2} = 2H_{i+1}$. Then $f(H_{i+1}) < H_{i+2}$, so $H_{i+3} = 2H_{i+2}$, and so on. $\blacksquare$
Proposition 2. For any positive real number $d$, the sequence of losing positions for the take-away game corresponding to $f(n) = 2n + d$ satisfies $H_{i+1} = H_i + H_{i-1}$ for all sufficiently large $i$.

This could be stated: if $c = 2$ the degree is 1.

Proof. By Theorem 3, we know that $H_{i+1} = H_i + H_{i-k}$ for all sufficiently large $i$; Theorem 4 implies that

$$k \leq \frac{\log 2}{\log 3 - \log 2} < 2,$$

so $k = 0$ or 1. For any $i$, we have $H_{i+1} = H_i + H_r$, so

$$f(H_i) > 2H_i \geq H_i + H_r = H_{i+1}.$$ 

Thus, we cannot have $k = 0$, so we must have $k = 1$. ■

6 How to compute the degrees

In this section we derive theoretical results which provide algorithms for computing the degrees; in the next section we present data generated using these algorithms, and make several conjectures based on it.

Proposition 3. For $f(n) = cn - d$, where $c - 1 \geq d \geq 0$, if $H_{j+1} = H_j + H_{j-k}$ for some $j$, and for $1 \leq i \leq k + 1$ we have $cH_{j+i-1-k} < H_{j+i}$, then $H_{r+1} = H_r + H_{r-k}$ for all $r \geq j$.

Proof. Lemma 1 shows that, if $f(H_{r-k+1}) < H_r \leq f(H_{r-k})$, then $H_{r+1} \leq f(H_{r-k+1})$. Thus, since $f$ is increasing, $H_r \leq f(H_{r-k})$ implies $H_{r+1} \leq f(H_{r-k+1})$. Since $H_j \leq f(H_{j-k})$, we have $H_r \leq f(H_{r-k})$ for all $r \geq j$. Now, since $cH_{j+i-1-k} < H_{j+i}$, we have

$$f(H_{j+i-1-k}) \leq cH_{j+i-1-k} < H_{j+i} \leq f(H_{j+i-k}),$$

so $H_{j+i+1} = H_{j+i} + H_{j+i-k}$ for $1 \leq i \leq k + 1$. In particular, $H_{j+2} = H_{j+1} + H_{j+1-k}$ and $H_{j+k+2} = H_{j+k+1} + H_{j+1}$. Thus,

$$H_{j+k+2} = H_{j+k+1} + H_{j+1} > cH_j + cH_{j-k} = cH_{j+1}.$$
We have shown that $H_{j+2} = H_{j+1} + H_{j+1-k}$ and $cH_{j+1} < H_{j+k+2}$; thus, for $J = j + 1$ we have $H_{J+1} = H_J + H_{J-k}$ and, for $i = 1, 2, \ldots, k + 1$, we have $cH_{J+i-1-k} < H_{J+i}$. So, by induction, for all $r \geq j$ and all $1 \leq i \leq k + 1$ we have $H_{r+1} = H_r + H_{r-k}$ and $cH_{r+i-1-k} < H_{r+i}$. ■

This suggests the following algorithm.

**Algorithm 1.** For $f(n) = cn - d$, where $c - 1 \geq d \geq 0$, compute successive terms $H_i$, by putting $H_1 = 1$ and $H_{i+1} = H_i + \min_{j \leq i} \{H_j : f(H_j) \geq H_i\}$. Stop when an integer $j$ is found for which $H_{j+1} = H_j + H_{j-k}$ and $cH_{j+i-1-k} < H_{j+i}$ for each $1 \leq i \leq k + 1$, and output $k$.

We do not know, a priori, whether this algorithm will terminate; however, if it does terminate, it will output the integer $k$ for which $H_{r+1} = H_r + H_{r-k}$ for sufficiently large $r$. We implemented this algorithm and applied it for all pairs of integers $(c, d)$ such that $90 \geq c > d > 0$; it terminated rather quickly in every case. Our data is considered in the next section.

Our algorithm for functions $f(n) = cn + d$ is a bit more complicated. We begin with the following.

**Definition.** For a given function $f(n) = cn + d$ with $c > 1, d > 0$, and any integer $j > 1$, let $\delta(j)$ be the unique positive integer such that $H_j = H_{j-1} + cH_{\delta(j)}$.

**Lemma 4.** For any function $f(n) = cn + d$ where $c > 1, d > 0$, if an integer $j$ for which $\delta(j) > 1$ is such that each $i = \delta(j), \delta(j) + 1, \ldots, j - 1$ satisfies $H_i \geq cH_{\delta(i)}$, then the sequence of differences $(i - \delta(i))_{i \geq j}$ is nonincreasing.

**Proof.** Put $m = \delta(j)$; then

\[
H_j - cH_{\delta(j)} = H_{j-1} - (c-1)H_m \\
> f(H_{m-1}) - (c-1)H_m \\
= cH_{m-1} + d - cH_m + H_m \\
= d + H_m - cH_{\delta(m)} \\
\geq d,
\]

so $H_j > f(H_{\delta(j)}) > cH_{\delta(j)}$. Now, $H_{j+1} = H_j + H_{\delta(j+1)}$ implies that $f(H_{\delta(j+1)}) \geq H_j$, so $\delta(j + 1) > \delta(j)$. Since $\delta(j + 1) \leq j$, we know that $H_i \geq cH_{\delta(i)}$ for each $i = \delta(j + 1), \ldots, j$, so our hypotheses are satisfied if we replace $j$ by $j + 1$. By induction, our hypotheses are satisfied if we replace $j$ by any larger integer $r$. As above, this implies that $\delta(r + 1) > \delta(r)$, so
\[ r - \delta(r) \geq r + 1 - \delta(r + 1) \] for any \( r \geq j \), which is what we are trying to show. ■

The proof of Theorem 3 shows that there do exist integers \( j \) satisfying the hypotheses of the above lemma.

**Proposition 4.** For \( f \) as in the preceding lemma, suppose that the sequence of differences \( (i - \delta(i))_{i \geq j} \) is nonincreasing. If \( H_{j} = H_{j-1} + H_{j-1-k} \) and also \( H_{j+i} \leq cH_{j+i-k} \) for each \( i = 0,1,\ldots,k \), then \( H_{r+1} = H_{r} + H_{r-k} \) for all \( r \geq j - 1 \).

**Proof.** First, \( H_{j+i} \leq cH_{j+i-k} \) implies that \( H_{j+i} < f(H_{j+i-k}) \); but \( H_{j+i+1} = H_{j+i} + H_{\delta(j+i+1)} \) implies that \( H_{j+i} = f(H_{\delta(j+i+1)-1}) \), so \( \delta(j+i+1) \leq j + i - k \).

Since the sequence of differences is nonincreasing,

\[
k + 1 = j - \delta(j) \geq j + i + 1 - \delta(j + i + 1),
\]

so \( \delta(j+i+1) \geq j + i - k \). Thus, \( \delta(j+i+1) = j + i - k \) for each \( i = 0,1,\ldots,k \), so \( H_{j+i+1} = H_{j+i} + H_{j+i-k} \) for each such \( i \). Now,

\[
H_{j+k+1} - cH_{j+1} = H_{j+k} + H_{j} - cH_{j+1} \\
\leq cH_{j} + cH_{j-k} - cH_{j+1} \\
= 0,
\]

so the hypotheses of this proposition are satisfied if we replace \( j \) by \( j + 1 \). By induction, these hypotheses are satisfied if we replace \( j \) by any larger integer; thus, as above, \( H_{r+1} = H_{r} + H_{r-k} \) for all \( r \geq j - 1 \). ■

**Algorithm 2.** For \( f(n) = cn + d \), where \( c > 1, d > 0 \), compute successive terms \( H_i \), by putting \( H_1 = 1 \) and \( H_{i+1} = H_i + \min_{i \leq j \leq \delta(i)} \{ H_i' : f(H_i') \geq H_i \} \).

Find the least integer \( j_0 \) for which \( \delta(j_0) > 1 \) and each \( \delta(j_0) \leq i \leq j_0 - 1 \) satisfies \( H_i \geq cH_{\delta(i)} \). Stop when an integer \( j \geq j_0 \) is found for which \( H_j = H_{j-1} + H_{j-1-k} \) and also \( H_{j+t} \leq cH_{j+t-k} \) for each \( t = 0,1,\ldots,k \). Output \( k \).

By Lemma 4 and Proposition 4, if this algorithm outputs the integer \( k \), then \( H_{r+1} = H_r + H_{r-k} \) for all \( r \geq j - 1 \). We implemented this algorithm and applied it to all pairs of positive integers \((c,d)\) such that \( c \leq 90 \) and \( d \leq 1000 \); in every case the algorithm terminated rather quickly. The data is considered below.
7 Observations and conjectures

Armed with the algorithms from the previous section, we computed the degrees for various functions $f(n) = cn + d$ for integers $c, d$. We computed the degrees for all pairs of integers $(c, -d)$ such that $0 \leq -d < c \leq 90$, and also for all pairs of positive integers $(c, d)$ such that $c \leq 90$ and $d \leq 1000$. For the rest of this section we will make various observations about these data, which lead us to make several conjectures.

Our first observation is that, for fixed $c$, positive integers $d$ which are ‘close’ to each other tend to produce the same degree, and similarly negative integers $d$ which do not differ by much tend to produce the same degree. This is especially pronounced for large positive integers $d$, as it almost always happens that, for fixed $c$, all $d$ between 50 and 1000 produce the same degree. Next, for fixed $c$, the integers $d = 0, -1, \ldots, 1 - c$ produce at most two different degrees, and if there are two they are consecutive integers. For fixed $c$ and positive $d$, the degree tends to be smallest for $d < 20$, say, and the degree for $d = 1000$ exceeds the degree for $d = 1$ for all $c > 5$. Moreover, for $c > 5$, every $d > 30$ has larger degree than does $d = 1$. So, it seems that very small positive $d$ produce a degree smaller than that produced by all larger positive $d$. Finally, we observe that, for fixed $c$, every $d > 32$ produces a larger degree than any negative $d$. This suggests an interesting relationship between positive and negative values of $d$. Theorem 4 implies that, for fixed $c$, every $d$ produces a degree in a certain interval whose length is something like $\log c$; our feeling is that the negative and only slightly positive values of $d$ lead to degrees in the lower part of this interval, whereas larger positive values of $d$ lead to degrees in the upper part of this interval. We shall not spoil the reader’s fun by proving these conjectures.

References


